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Ex Parte Slater

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Court : US Supreme Court

Decided On : Jan-21-1918

Appeal No. : 246 U.S. 128

Appellant : Ex Parte Slater

Judgement :

Ex Parte Slater - 246 U.S. 128 (1918)
U.S. Supreme Court Ex Parte Slater, 246 U.S. 128 (1918)

Ex Parte Slater

No. 27, Original

Argued January 21, 1918

Rule discharged March 4, 1918

246 U.S. 128

PETITION FOR WRIT OF MANDAMUS

SYLLABUS

A petition for mandamus should give a correct, uncolored statement of the matter concerning which it seeks relief.

The function of mandamus, when directed to judicial officers, restated. The right of substitution upon the death of a party to a suit in the district court depends upon recognized legal and equitable principles to be judicially applied, and where, after due hearing, the motion is denied, the ruling, if erroneous, may be corrected upon appeal, but it cannot be reviewed by mandamus.

By decree in a pending suit, the District Court directed that a sum in

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the registry be distributed among several solicitors in proportion to their respective services in the case, past payments to be considered, and retained control of the suit and fund to make and carry out the apportionment. *Held* that the death of one of the solicitors suspended the proceedings until someone legally capable of asserting and defending his interest could be substituted.

Substitution,formerly effected by a bill of revivor or a bill of that nature, is now ordered upon motion under new Equity Rule 5.

Petition dismissed.

This is a petition for a writ of mandamus against the judge of the District Court for the Eastern District of Missouri directing the revivor in the petitioner's name of a suit in equity. The facts, about which there is no dispute, are these: in 1916, the district court, by a decree in a pending suit, awarded $95,770, then in the registry of the court, to five solicitors as the balance due to them collectively for services in the suit, and directed that this sum be apportioned among them according to the relative amount and value of the services of each, due regard being had for payments already made. Control of the suit and fund was retained to enable the court to make the apportionment and carry it into effect. The solicitors appeared in the suit, and, while proceedings looking to an apportionment were pending, one of the solicitors died. He was a resident of Texas, and was survived by a widow and

son, both living in that state. By his will, regularly presented for probate in Texas, his entire estate, excepting one dollar bequeathed to the son, was devised and bequeathed to the widow, and she was named as sole executrix. The will was what is known under the laws of Texas as an "independent" will, the same containing a direction that no action should be had thereunder other than to probate it and to return an inventory and appraisement. *See* Tex.Civ.Stats., 1914, Art. 3362, *et seq.* After the will was presented and while it was awaiting probate in regular course, the court in Texas appointed the widow

temporary administratrix and directed her in that capacity to take charge of the estate and do whatever was necessary to obtain the deceased's portion of the fund awaiting distribution in the district court. She qualified as temporary administratrix, and, as such, presented in the suit a motion asking that it be revived by substituting her as a party in the place of the deceased. A few days later, the public administrator of St. Louis, Missouri, acting under an order of the probate court of that city, presented in the suit a motion, erroneously styled an intervening petition, asserting that he was the deceased's only legal representative in Missouri and insisting in effect that the revivor be in his name.

These conflicting motions were heard together, were argued orally and in elaborate briefs by counsel for the respective applicants for substitution, and were considered in a memorandum opinion wherein the judge, after indicating that a revivor was essential and that the question for decision was as to which of the two applicants was the proper party to be substituted in the place of the deceased, reached the conclusion that the revivor should be in the name of the widow as temporary administratrix. An order was accordingly entered granting her motion and denying that of the public administrator. That order was dated October 29, 1917.

November 6, 1917, the will was regularly admitted to probate in Texas, and the judgment by which this was done contained an express finding that there was no debt to be paid and no occasion for administration upon the estate. The widow

then presented in the suit a motion setting up the probate of the will with the finding made in that connection and insisting that this and the terms of the will operated under the laws of Texas * to invest

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her in her individual capacity with the full right, title, and interest of the deceased in the fund as of the date of his death. The motion concluded by asking for an order recognizing and substituting her in her individual right as the successor in interest and title of the deceased. A hearing was had upon this motion, and the same was granted November 19, 1917.

That was the date on which this Court, after examining the present petition of the public administrator, granted leave to file the same and ordered that a rule to show cause issue against the defendant judge.

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MR. JUSTICE VAN DEVANTER, after making the foregoing statement, delivered the opinion of the Court.

It now appears that the petition gives an inadmissible coloring to the matter in respect of which it seeks relief. We say this because the petition implies that the court

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did not consider, but summarily rejected, the public administrator's motion for a revivor in his name, whereas in fact the court heard oral argument on the motion, gave time for filing and received briefs thereon, and ultimately denied the motion for reasons given in a memorandum opinion. The petition makes no reference to this; neither does it mention the conflicting motion by the temporary administratrix which was heard at the same time, dealt with in the same memorandum opinion and granted by the same order that denied the public administrator's motion. These matters and the subsequent proceedings are all brought to our attention by

the return, the accuracy of which is not questioned.

When the unwarranted coloring of the petition is put aside and what actually was done is considered in its true light, it is manifest that the situation is not one in which a writ of mandamus will lie.

Of course, the death of one of the parties having an interest in the fund operated to suspend the proceedings for its apportionment until someone legally capable of asserting and defending that interest should either come or be brought into the suit in the place of the deceased. Formerly such a substitution was effected through a bill of revivor or a bill of that nature, 210 U.S. 526; Rule 56; Story's Equity Pleadings, 9th ed., 354, 356, 364, but the new equity rules provide that the court may, "upon motion, order the suit to be revived by the substitution of the proper parties." 226 U.S. 661, Rule 45. Whether a particular applicant for substitution is the proper party is a question for the court to determine, just as is the question whether a particular suit is brought by or against the proper party. In either case, the question is to be resolved by applying recognized legal and equitable principles to the facts in hand -- in other words, by an exercise of the judicial function. If the suit be one which may be revived, as where the cause of action or claim in controversy

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survives, revivor in the name of the proper party is a matter of right, and, if it be denied, the denial may be reviewed and corrected upon appeal. *Clarke v. Mathewson,* 12 Pet. 164; *Terry v. Sharon,* 131 U. S. 40, 131 U. S. 46; *Credits Commutation Co. v. United States,* 177 U. S. 311, 177 U. S. 315-316; *Mackaye v. Mallory,* 79 F. 1, 2; *Minot v. Mastin,* 95 F. 734, 739; *United States Trust Co. v. Chicago Terminal Co.,* 188 F. 292, 296; *Western Union Telegraph Co. v. United States & Mexican Trust Co.,* 221 F. 545, 552.

When the two conflicting motions for revivor were presented, it devolved upon the court to consider and decide which, if either, of the applicants was entitled to substitution. A full hearing was had, and in regular course, the court ruled that one

applicant was and the other was not the proper party, and then entered an order reviving the suit accordingly. That was a judicial act done in the exercise of a jurisdiction conferred by law, and, even if erroneous, was not void or open to collateral attack, but only subject to correction upon appeal.

"The accustomed office of a writ of mandamus, when directed to a judicial officer, is to compel an exercise of existing jurisdiction, but not to control his decision. It does not lie to compel a reversal of a decision, either interlocutory or final, made in the exercise of a lawful jurisdiction, especially where, in regular course, the decision may be reviewed upon a writ of error or an appeal."

Ex parte Roe, 234 U. S. 70 , 234 U. S. 73 ; *In re Rice,* 155 U. S. 396 , 155 U. S. 403 ; *In re Key,* 189 U. S. 84 ; *Ex parte Park Square Automobile Station,* 244 U. S. 412 .

Upon the present petition, therefore, we cannot consider the merits of the ruling upon the conflicting motions or the relative bearing of the subsequent proceedings whereby the widow in her individual right was substituted as the successor in interest and title of the deceased.

Rule discharged; petition dismissed.

* The reference evidently was to Article 3362, *supra,* and to Article 3235, which declares,

"When a person dies, leaving a lawful will, all of his estate devised or bequeathed by such will shall vest immediately in the devisees or legatees,"

but shall be "subject in their hands to the payment of the debts," if any, of the testator. *And see Wilkins v. Ellett,* 108 U. S. 256 , 108 U. S. 258 ; also *Owings v. Hull,* 9 Pet. 607, 34 U. S. 625 , and *Fourth National Bank v. Francklyn,* 120 U. S. 747 , 120 U. S. 751 .

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