

Pulliam Vs. Allen

Pulliam Vs. Allen

SooperKanoon Citation : sooperkanoon.com/105695

Court : US Supreme Court

Decided On : May-14-1984

Appeal No. : 466 U.S. 522

Appellant : Pulliam

Respondent : Allen

Judgement :

Pulliam v. Allen - 466 U.S. 522 (1984)

U.S. Supreme Court Pulliam v. Allen, 466 U.S. 522 (1984)

Pulliam v. Allen

No. 82-1432

Argued November 2, 1983

Decided May 14, 1984

466 U.S. 522

CERTIORARI TO THE UNITED STATES COURT OF APPEALS

FOR THE FOURTH CIRCUIT

SYLLABUS

After respondents were arrested for nonjailable misdemeanors, petitioner, a Magistrate in a Virginia county, imposed bail, and when respondents were unable to meet the bail, petitioner committed them to jail. Subsequently, respondents brought an action against petitioner in Federal District Court under 42 U.S.C. 1983, claiming that petitioner's practice of imposing bail on persons arrested for nonjailable offenses under Virginia law and of incarcerating those persons if they could not meet the bail was unconstitutional. The court agreed and enjoined the practice, and also awarded respondents costs and attorney's fees under the Civil Rights Attorney's Fees Awards Act of 1976. Determining that judicial immunity did not extend to injunctive relief under 1983 and that prospective injunctive relief properly had been awarded against petitioner, the Court of Appeals affirmed the award of attorney's fees.

HELD

1. Judicial immunity is not a bar to prospective injunctive relief against a judicial officer, such as petitioner, acting in her judicial capacity. Pp. [466 U. S. 528](#) -543.

(a) Common law principles of judicial immunity were incorporated into the United States judicial system, and should not be abrogated absent clear legislative intent to do so. Although there were no injunctions against common law judges, there is a common law parallel to the 1983 injunction at issue here in the collateral prospective relief available against judges through the use of the King's prerogative writs in England. The history of these writs discloses that the common law rule of judicial immunity did not include immunity from prospective collateral relief. Pp. [466 U. S. 528](#) -536.

(b) The history of judicial immunity in the United States is fully consistent with the common law experience. There never has been a rule of absolute judicial immunity from prospective relief, and there is no evidence that the absence of that immunity has had a chilling effect on judicial independence. Limitations on obtaining equitable relief serve to curtail or prevent harassment of judges through

suits against them by disgruntled litigants. Collateral injunctive relief against a judge, particularly when that relief is available through 1983, also raises a concern relating to the proper functioning of federal-state relations, but that

Page 466 U. S. 523

concern has been addressed directly as a matter of comity and federalism, independent of principles of judicial immunity. While there is a need for restraint by federal courts called upon to enjoin actions of state judicial officers, there is no support for a conclusion that Congress intended to limit the injunctive relief available under 1983 in a way that would prevent federal injunctive relief against a state judge. Rather, Congress intended 1983 to be an independent protection for federal rights, and there is nothing to suggest that Congress intended to expand the common law doctrine of judicial immunity to insulate state judges completely from federal collateral review. Pp. [466 U. S. 536](#) -543.

2. Judicial immunity is no bar to the award of attorney's fees under the Civil Rights Attorney's Fees Awards Act. Congress has made clear in the Act its intent that attorney's fees be available in any action to enforce 1983. And the legislative history confirms Congress' intent that an attorney's fee award be made available even when damages would be barred or limited by immunity doctrines. Pp. [466 U. S. 543](#) -544.

690 F.2d 376, affirmed.

BLACKMUN, J., delivered the opinion of the Court, in which BRENNAN, WHITE, MARSHALL, and STEVENS, JJ., joined. POWELL, J., filed a dissenting opinion, in which BURGER, C.J., and REHNQUIST and O'CONNOR, JJ., joined, *post*, p. [466 U. S. 544](#) .

Page 466 U. S. 524

JUSTICE BLACKMUN delivered the opinion of the Court.

This case raises issues concerning the scope of judicial immunity from a civil suit that seeks injunctive and declaratory relief under 1 of the Civil Rights Act of 1871, as amended, 42 U.S.C. 1983, and from fee awards made under the Civil Rights Attorney's Fees Awards Act of 1976, 90 Stat. 2641, as amended, 42 U.S.C. 1988.

Petitioner Gladys Pulliam is a state Magistrate in Culpeper County, Va. Respondents Richmond R. Allen and Jesse W. Nicholson were plaintiffs in a 1983 action against Pulliam brought in the United States District Court for the Eastern District of Virginia. They claimed that Magistrate Pulliam's practice of imposing bail on persons arrested for nonjailable

Page 466 U. S. 525

offenses under Virginia law and of incarcerating those persons if they could not meet the bail was unconstitutional. The District Court agreed and enjoined the practice. That court also awarded respondents \$7,691.09 in costs and attorney's fees under 1988. The United States Court of Appeals for the Fourth Circuit rejected petitioner's claim that the award of attorney's fees against her should have been barred by principles of judicial immunity. We agree with the Court of Appeals, and affirm the award.

I

Respondent Allen was arrested in January, 1980, for allegedly using abusive and insulting language, a Class 3 misdemeanor under Va.Code 18.2-416 (1982). The maximum penalty for a Class 3 misdemeanor is a \$500 fine. See 18.2-11(c). Petitioner set a bond of \$250. Respondent Allen was unable to post the bond, and petitioner committed Allen to the Culpeper County jail, where he remained for 14 days. He was then tried, found guilty, fined, and released. The trial judge subsequently reopened the judgment and reversed the conviction. Allen then filed his 1983 claim, seeking declaratory and injunctive relief against petitioner's practice of incarcerating persons waiting trial for nonincarcerable offenses. [[Footnote 1](#)]

Respondent Nicholson was incarcerated four times within the 2-month period immediately before and after the filing of Allen's complaint. His arrests were for alleged violations of Va.Code 18.2-388 (1982), being drunk in public. Section 18.2-388 is a Class 4 misdemeanor for which the maximum penalty is a \$100 fine. See 18.2-11(d). Like Allen, respondent Nicholson was incarcerated for periods of two to six

Page 466 U. S. 526

days for failure to post bond. He intervened in Allen's suit as a party plaintiff.

The District Court found it to be petitioner's practice to require bond for nonincarcerable offenses. The court declared the practice to be a violation of due process and equal protection and enjoined it. [[Footnote 2](#)] The court also found that respondents, having substantially prevailed on their claims, were entitled to costs, including reasonable attorney's fees, in accordance with 1988. It directed respondents to submit a request for costs to petitioner within 10 days. App. 23. Petitioner did not appeal this order.

Respondents submitted a request for fees and costs totalling \$7,691.09. The fee component of this figure was \$7,038.

Page 466 U. S. 527

Petitioner filed objections and prayed "that the Court reduce the request of Plaintiffs for attorney's fees." *Id.* at 33. The court found the fees figure reasonable, and granted fees and costs in the requested amount.

Petitioner took an appeal from the order awarding attorney's fees against her. She argued that, as a judicial officer, she was absolutely immune from an award of attorney's fees. The Court of Appeals reviewed the language and legislative history of 1988. It concluded that a judicial officer is not immune from an award of attorney's fees in an action in which prospective relief properly is awarded against her. Since the court already had determined that judicial immunity did not extend to injunctive and declaratory relief under 1983, [[Footnote 3](#)] the court concluded

that prospective relief properly had been awarded against petitioner. It therefore affirmed the award of attorney's fees. *Allen v. Burke*, 690 F.2d 376 (1982).

II

We granted certiorari in this case, 461 U.S. 904 (1983), to determine, as petitioner phrased the question,

"[w]hether Judicial Immunity Bars the Award of Attorney's Fees Pursuant to 42 U.S.C. 1988 Against a Member of the Judiciary Acting in his Judicial Capacity."

See the initial leaf of the petition for certiorari. As the Court of Appeals recognized, the answer to that question depends in part on whether judicial immunity bars an award of injunctive relief under 1983. The legislative history of 1988 clearly indicates that Congress intended to provide for attorney's fees in cases where relief properly is granted against officials who are immune from damages awards. H.R.Rep. No. 94-1558, p. 9 (1976). [[Footnote 4](#)] There is no indication, however, that Congress

Page 466 U. S. 528

intended to provide for a fee award if the official was immune from the underlying relief on which the award was premised. See *Supreme Court of Virginia v. Consumers Union of United States, Inc.*, [446 U. S. 719](#) , [446 U. S. 738](#) -739 (1980). Before addressing the specific provisions of 1988, therefore, we turn to the more fundamental question, that is, whether a judicial officer acting in her judicial capacity should be immune from prospective injunctive relief. [[Footnote 5](#)]

III

Although injunctive relief against a judge rarely is awarded, the United States Courts of Appeals that have faced the issue are in agreement that judicial immunity does not bar such relief. [[Footnote 6](#)] This Court, however, has never decided the question. [[Footnote 7](#)]

Page 466 U. S. 529

The starting point in our own analysis is the common law. Our cases have proceeded on the assumption that common law principles of legislative and judicial immunity were incorporated into our judicial system, and that they should not be abrogated absent clear legislative intent to do so. See *Pierson v. Ray*, [386 U. S. 547](#) , [386 U. S. 554](#) -555 (1967); *Tenney v. Brandhove*, [341 U. S. 367](#) (1951). Accordingly, the first and crucial question is whether the common law recognized judicial immunity from prospective collateral relief.

At the common law itself, there was no such thing as an injunction against a judge. Injunctive relief was an equitable remedy that could be awarded by the Chancellor only against the parties in proceedings before other courts. See 2 J. Story, *Equity Jurisprudence* 875, p. 72 (11th ed. 1873). This limitation on the use of the injunction, however, says nothing about the scope of judicial immunity. And the limitation derived not from judicial immunity, but from the substantive confines of the Chancellor's authority. *Ibid.*

Although there were no injunctions against common law judges, there is a common law parallel to the 1983 injunction at issue here. That parallel is found in the collateral prospective relief available against judges through the use of the King's prerogative writs. A brief excursion into common law history helps to explain the relevance of these writs to the question whether principles of common law immunity bar injunctive relief against a judicial officer.

Page 466 U. S. 530

The doctrine of judicial immunity and the limitations on prospective collateral relief with which we are concerned have related histories. Both can be traced to the successful efforts of the King's Bench to ensure the supremacy of the common law courts over their 17th- and 18th-century rivals. See 5 W. Holdsworth, *A History of English Law* 159-160 (3d ed.1945) (Holdsworth).

A number of courts challenged the King's Bench for authority in those days. Among these were the Council, the Star Chamber, the Chancery, the Admiralty, and the ecclesiastical courts. *Ibid.* In an effort to assert the supremacy of the

common law courts, Lord Coke forbade the interference by courts of equity with matters properly triable at common law. See *Heath v. Rydley*, Cro.Jac. 335, 79 Eng.Rep. 286 (K.B. 1614). Earlier, in *Floyd and Barker*, 12 Co.Rep. 23, 77 Eng.Rep. 1305 (1607), Coke and his colleagues of the Star Chamber had declared the judges of the King's Bench immune from prosecution in competing courts for their judicial acts. In doing so, they announced the theory upon which the concept of judicial immunity was built. The judge involved in *Floyd and Barker* was a common law Judge of Assize who had presided over a murder trial. He was then charged in the Star Chamber with conspiracy. The court concluded that the judges of the common law should not be called to account "before any other Judge at the suit of the King." *Id.* at 24, 77 Eng.Rep. at 1307.

"[A]nd it was agreed, that insomuch as the Judges of the realm have the administration of justice, under the King, to all his subjects, they ought not to be drawn into question for any supposed corruption, which extends to the annihilating of a record, or of any judicial proceedings before them, or tending to the slander of the justice of the King, which will trench to the scandal of the King himself, except it be before the King himself; for they

Page 466 U. S. 531

are only to make an account to God and the King, and not to answer to any suggestion in the Star-Chamber."

Id. at 25, 77 Eng.Rep. at 1307.

As this quoted language illustrates, Coke's principle of immunity extended only to the higher judges of the King's courts. See 5 Holdsworth, at 159-160. In time, Coke's theory was expanded beyond his narrow concern of protecting the common law judges from their rival courts, so that judges of all courts were accorded immunity, at least for actions within their jurisdiction. [[Footnote 8](#)] See *Scott v. Stansfield*, 3 L.R.Ex. 220 (1868) (immunity extended to a county court, an inferior court of record; reliance placed on precedent extending immunity to the court of a coroner and to a courtmartial, an inferior court and a court not of record);

Haggard v. Pelicer Freres [1892] A. C. 61 (1891) (Judge of Consular Court of Madagascar given same immunity as judge of a court of record). In addition, the theory itself was refined, its focus shifting from the need to preserve the King's authority to the public interest in independent judicial decisionmaking. See *Taaffe v. Downes*, reprinted in footnote in *Calder v. Halket*, 13 Eng.Rep. 12, 18, n. (a) (P.C. 1840) ("An action before one Judge for what is done by another, is in the nature of an Appeal; and is the Appeal from an equal to an equal. It is a solecism in the law . . . that the Plaintiff's case is against the independence of the Judges").

Page 466 U. S. 532

By 1868, one of the judges of the Court of Exchequer explained judicial immunity in language close to our contemporary understanding of the doctrine:

"It is essential in all courts that the judges who are appointed to administer the law should be permitted to administer it under the protection of the law, independently and freely, without favor and without fear. This provision of the law is not for the protection or benefit of a malicious or corrupt judge, but for the benefit of the public, whose interest it is that the judges should be at liberty to exercise their functions with independence, and without fear of consequences."

Scott v. Stansfield, 3 L.R.Ex., at 223, quoted in [Bradley v. Fisher](#), 13 Wall. 335, [80 U. S. 350](#) , n. (1872). It is in the light of the common law's focus on judicial independence that the collateral control exercised by the King's Bench over rival and inferior courts has particular significance.

The King's Bench exercised significant collateral control over inferior and rival courts through the use of prerogative writs. The writs included habeas corpus, certiorari, prohibition, mandamus, quo warranto, and *ne exeat regno*. 1 Holdsworth at 226-231 (7th ed.1956). Most interesting for our current purposes are the writs of prohibition and mandamus. [[Footnote 9](#)] The writs issued against a judge, in theory to prevent

Page 466 U. S. 533

him from exceeding his jurisdiction or to require him to exercise it. *Id.* at 228-229. In practice, controlling an inferior court in the proper exercise of its jurisdiction meant that the King's Bench used and continues to use the writs to prevent a judge from committing all manner of errors, including departing from the rules of natural justice, proceeding with a suit in which he has an interest, misconstruing substantive law, and rejecting legal evidence. See 1 Halsbury's Laws of England 76, 81, 130 (4th ed.1973); Gordon, The Observance of Law as a Condition of Jurisdiction, 47 L.Q.Rev. 386, 394 (1931). [[Footnote 10](#)]

Examples are numerous in which a judge of the King's Bench, by issuing a writ of prohibition at the request of a party before an inferior or rival court, enjoined that court from proceeding with a trial or from committing a perceived error during the course of that trial. See *generally* Dobbs, The Decline of Jurisdiction by Consent, 40 N.C.L.Rev. 49, 60-61 (1961). The writs were particularly useful in exercising collateral control over the ecclesiastical courts, since the King's Bench exercised no direct review over those tribunals. In *Shatter v. Friend*, 1 Show. 158, 89 Eng.Rep. 510 (K. B. 1691), for example, the court granted a prohibition against the Spiritual Court for refusing to allow the defendant's proof of payment of a 10-pound legacy, one of the justices concluding that "it was an unconscionable unreasonable thing to disallow the proof." *Id.* at 161, 89 Eng.Rep. at 512. [[Footnote 11](#)]

Page 466 U. S. 534

In *Gould v. Gapper*, 5 East. 345, 102 Eng.Rep. 1102 (K.B. 1804), the court made explicit what had been implicit in a number of earlier decisions. It held that a writ of prohibition would be granted not only when a court had exceeded its jurisdiction, but also when the court, either a noncommon law court or an inferior common law court, had misconstrued an Act of Parliament or, acting under the rules of the civil law, had decided otherwise than the courts of common law would upon the same subject. The fact that the error might be corrected on appeal was deemed to be irrelevant to the availability of a writ of prohibition. In the court's view, the reason for prohibition in such a case was

"[n]ot that the Spiritual Court had not jurisdiction to construe [the statute], but that the mischiefs of misconstruction were to be prevented by prohibition."

Id. at 368, 102 Eng.Rep. at 1111. [[Footnote 12](#)]

Page 466 U. S. 535

Although the King's Bench exercised direct review of the inferior common law courts, it also used the writ of prohibition to control those courts. See, e.g., *In re Hill*, 10 Exch. 726 (1855) (prohibition issued to prevent judge from proceeding in a case in which he, of his own accord, had amended a claim to an amount within his jurisdiction). [[Footnote 13](#)]

The practice has continued into modern times. In *King v. Emerson*, [1913] 2 Ir.R. 377, for instance, the court granted a writ of prohibition preventing a justice of the peace, acting in a judicial capacity, from proceeding with a deposition, because of a likelihood that a reasonable public might conclude that the magistrate's statements indicated bias in favor of the Crown. The court directed the magistrate to pay costs to the complaining party, leaving him to settle with the Crown the matter of indemnification.

The relationship between the King's Bench and its collateral and inferior courts is not precisely paralleled in our system by the relationship between the state and federal courts.

Page 466 U. S. 536

To the extent that we rely on the common law practice in shaping our own doctrine of judicial immunity, however, the control exercised by the King's Bench through the prerogative writs is highly relevant. It indicates that, at least in the view of the common law, there was no inconsistency between a principle of immunity that protected judicial authority from "a wide, wasting, and harassing persecution," *Taaffe v. Downes*, 13 Eng.Rep. at 18, n. (a), and the availability of collateral injunctive relief in exceptional cases. Nor, as indicated above, did the common law deem it necessary to limit this collateral relief to situations where no alternative

avenue of review was available. See *Gould v. Gapper*, *supra*.

It is true that the King's Bench was successful in insulating its judges from collateral review. But that success had less to do with the doctrine of judicial immunity than with the fact that only the superior judges of the King's Bench, not the ecclesiastical courts or the inferior common law courts, had authority to issue the prerogative writs. [[Footnote 14](#)]

IV

Our own experience is fully consistent with the common law's rejection of a rule of judicial immunity from prospective relief. We never have had a rule of absolute judicial immunity from prospective relief, and there is no evidence that the absence of that immunity has had a chilling effect on judicial independence. None of the seminal opinions on judicial immunity, either in England or in this country, has involved

Page 466 U. S. 537

immunity from injunctive relief. [[Footnote 15](#)] No Court of Appeals ever has concluded that immunity bars injunctive relief against a judge. See [n](#) 6, *supra*. At least seven Circuits have indicated affirmatively that there is no immunity bar to such relief, and in situations where, in their judgment, an injunction against a judicial officer was necessary to prevent irreparable injury to a petitioner's constitutional rights, courts have granted that relief. [[Footnote 16](#)]

For the most part, injunctive relief against a judge raises concerns different from those addressed by the protection of judges from damages awards. The limitations already imposed by the requirements for obtaining equitable relief against any defendant -- a showing of an inadequate remedy at law and of a serious risk of irreparable harm, see *Beacon Theatres, Inc. v. Westover*, [359 U. S. 500](#) , [359 U. S. 506](#) -507 (1959) [[Footnote 17](#)] -- severely curtail the risk that judges will be harassed and their independence compromised by the threat of having to

Page 466 U. S. 538

defend themselves against suits by disgruntled litigants. [[Footnote 18](#)] Similar limitations serve to prevent harassment of judges through use of the writ of mandamus. Because mandamus has

"the unfortunate consequence of making the judge a litigant, obliged to obtain personal counsel or to leave his defense to one of the litigants before him,"

the Court has stressed that it should be "reserved for really extraordinary causes." *Ex parte Fahey*, [332 U. S. 258](#) , [332 U. S. 260](#) (1947). Occasionally, however, there are "really extraordinary causes" and, in such cases, there has been no suggestion that judicial immunity prevents the supervising court from issuing the writ. [[Footnote 19](#)]

Page 466 U. S. 539

The other concern raised by collateral injunctive relief against a judge, particularly when that injunctive relief is available through 1983, relates to the proper functioning of federal-state relations. Federal judges, it is urged, should not sit in constant supervision of the actions of state judicial officers, whatever the scope of authority under 1983 for issuing an injunction against a judge.

The answer to this concern is that it is not one primarily of judicial independence, properly addressed by a doctrine of judicial immunity. The intrusion into the state process would result whether the action enjoined were that of a state judge or of another state official. The concern, therefore, has been addressed as a matter of comity and federalism, independent of principles of judicial immunity. [[Footnote 20](#)] We reaffirm the validity of those principles and the need for restraint by federal courts called on to enjoin the actions of state judicial officers. We simply see no need to reinterpret the principles now as stemming from the doctrine of judicial immunity.

If the Court were to employ principles of judicial immunity to enhance further the limitations already imposed by principles of comity and federalism on the availability of injunctive relief against a state judge, it would foreclose relief in

situations where, in the opinion of a federal judge, that relief is constitutionally required and necessary to prevent irreparable harm. Absent some basis for determining that such a result is compelled, either by the principles of judicial immunity, derived from the common law and not explicitly abrogated by Congress, or by Congress' own intent to limit

Page 466 U. S. 540

the relief available under 1983, we are unwilling to impose those limits ourselves on the remedy Congress provided.

As illustrated above, there is little support in the common law for a rule of judicial immunity that prevents injunctive relief against a judge. There is even less support for a conclusion that Congress intended to limit the injunctive relief available under 1983 in a way that would prevent federal injunctive relief against a state judge. In *Pierson v. Ray*, [386 U. S. 547](#) (1967), the Court found no indication of affirmative congressional intent to insulate judges from the reach of the remedy Congress provided in 1983. The Court simply declined to impute to Congress the intent to abrogate common law principles of judicial immunity. Absent the presumption of immunity on which *Pierson* was based, nothing in the legislative history of 1983 or in this Court's subsequent interpretations of that statute supports a conclusion that Congress intended to insulate judges from prospective collateral injunctive relief.

Congress enacted 1983 and its predecessor, 2 of the Civil Rights Act of 1866, 14 Stat. 27, to provide an independent avenue for protection of federal constitutional rights. The remedy was considered necessary because

"state courts were being used to harass and injure individuals, either because the state courts were powerless to stop deprivations or were in league with those who were bent upon abrogation of federally protected rights."

Mitchum v. Foster, [407 U. S. 225](#) , [407 U. S. 240](#) (1972). See also *Pierson v. Ray*, 386 U.S. at [386 U. S. 558](#) -564 (dissenting opinion) (every Member of Congress who spoke to the issue assumed that judges would be liable under

1983).

Subsequent interpretations of the Civil Rights Acts by this Court acknowledge Congress' intent to reach unconstitutional actions by all state actors, including judges. In *Ex parte Virginia*, [100 U. S. 339](#) (1880), 4 of the Civil Rights Act of 1875, 18 Stat. 336, was employed to authorize a criminal indictment against a judge for excluding persons from

Page 466 U. S. 541

jury service on account of their race. The Court reasoned that the Fourteenth Amendment prohibits a State from denying any person within its jurisdiction the equal protection of the laws. Since a State acts only by its legislative, executive, or judicial authorities, the constitutional provision must be addressed to those authorities, including the State's judges. Section 4 was an exercise of Congress' authority to enforce the provisions of the Fourteenth Amendment and, like the Amendment, reached unconstitutional state judicial action. [[Footnote 21](#)]

The interpretation in *Ex parte Virginia* of Congress' intent in enacting the Civil Rights Acts has not lost its force with the passage of time. In *Mitchum v. Foster*, *supra*, the Court found 1983 to be an explicit exception to the anti-injunction statute, citing *Ex parte Virginia* for the proposition that the

"very purpose of 1983 was to interpose the federal courts between the States and the people, as guardians of the people's federal rights -- to protect the people from unconstitutional action under color of state law, 'whether that action be executive, legislative, or judicial.'"

407 U.S. at [407 U. S. 242](#) .

Much has changed since the Civil Rights Acts were passed. It no longer is proper to assume that a state court will not act to prevent a federal constitutional deprivation, or that a state judge will be implicated in that deprivation. We remain steadfast in our conclusion, nevertheless, that Congress intended 1983 to be an independent protection for federal rights, and find nothing to suggest that

Congress intended to expand the common law doctrine of judicial immunity to insulate state judges completely from federal collateral review.

We conclude that judicial immunity is not a bar to prospective injunctive relief against a judicial officer acting in her

Page 466 U. S. 542

judicial capacity. In so concluding, we express no opinion as to the propriety of the injunctive relief awarded in this case. Petitioner did not appeal the award of injunctive relief against her. The Court of Appeals therefore had no opportunity to consider whether respondents had an adequate remedy at law, rendering equitable relief inappropriate, [[Footnote 22](#)] or

Page 466 U. S. 543

whether the order itself should have been more narrowly tailored. On the record before us and without the benefit of the Court of Appeals' assessment, we are unwilling to speculate about these possibilities. We proceed, therefore, to the question whether judicial immunity bars an award of attorney's fees, under 1988, to one who succeeds in obtaining injunctive relief against a judicial officer.

V

Petitioner insists that judicial immunity bars a fee award because attorney's fees are the functional equivalent of monetary damages, and monetary damages indisputably are prohibited by judicial immunity. She reasons that the chilling effect of a damages award is no less chilling when the award is denominated attorney's fees.

There is, perhaps, some logic to petitioner's reasoning.

The weakness in it is that it is for Congress, not this Court, to determine whether and to what extent to abrogate the judiciary's common law immunity. See *Pierson v. Ray*, 386 U.S. at [386 U. S. 554](#) . Congress has made clear in 1988 its intent that attorney's fees be available in any action to enforce a provision of 1983. See

also *Hutto v. Finney*, [437 U. S. 678](#) , [437 U. S. 694](#) (1978). The legislative history of the statute confirms Congress' intent that an attorney's fee award be available even when damages would be barred or limited by "immunity doctrines and special defenses, available only to public officials." H.R.Rep. No. 94-1558, p. 9 (1976). [[Footnote 23](#)] See also

Page 466 U. S. 544

Supreme Court of Virginia v. Consumers Union of United States, Inc., 446 U.S. at [446 U. S. 738](#) -739 ("The House Committee Report on [1988] indicates that Congress intended to permit attorney's fees awards in cases in which prospective relief was properly awarded against defendants who would be immune from damages awards").

Congress' intent could hardly be more plain. Judicial immunity is no bar to the award of attorney's fees under 42 U.S.C. 1988.

The judgment of the Court of Appeals, allowing the award of attorney's fees against petitioner, is therefore affirmed.

It is so ordered.

[[Footnote 1](#)]

Respondent Allen also challenged the failure of the trial judge to provide a first appearance, to appoint counsel, and to advise Allen of his rights during incarceration. The District Court dismissed the claim against the trial judge because "he played no direct role in the pretrial detention of either plaintiff." App. 31-32.

[[Footnote 2](#)]

Respondents had challenged both the constitutionality of the Virginia pretrial detention statute and petitioner's practice of imposing bail for nonincarcerable offenses. Virginia Code 19.2-74.1 (later repealed by 1981 Va. Acts, ch. 382) prohibited the retention in custody of any person arrested for a misdemeanor for

which he could not receive a jail sentence. The statute contained an exception for those persons arrested for profane swearing or being drunk in public, in violation of 18.2-388. See 1980 Va. Acts, ch. 344. Section 19.2-74.A.1, however, authorized pretrial detention of any such person "believed by the arresting officer to be likely to disregard a summons" or "reasonably believed by the arresting officer to be likely to cause harm to himself or to any other person."

The District Court declared both 19.2-74 and 19.2-74.1 unconstitutional

"[t]o the extent that [they] authorize the incarceration of persons charged with misdemeanors for which no jail time is authorized, solely because they cannot meet bond."

App. 22. It enjoined petitioner from

"[t]he practice and course of conduct in Culpeper County, Virginia, under which persons are confined prior to trial on offenses for which no jail time is authorized solely because they cannot meet bond."

Id. at 23.

Although the District Court concluded that respondents had been held in jail "solely because of their inability to make bail," *id.* at 26, it also directed that

"[a]ny pretrial detention for persons arrested for Class 3 and Class 4 misdemeanors on the grounds that the person is lawfully deemed likely to be a danger to himself or to others may last only so long as such danger persists and must cease when the condition which created the danger changes or abates, or arrangements are made for release of the person into third-party custody under circumstances which abate the danger."

Id. at 22.

[[Footnote 3](#)]

See *Timmerman v. Brown*, 528 F.2d 811, 814 (1975), *rev'd on other grounds sub nom. Leeke v. Timmerman*, [454 U. S. 83](#) (1981).

[[Footnote 4](#)]

"[W]hile damages are theoretically available under the statutes covered by H.R. 15460, it should be observed that, in some cases, immunity doctrines and special defenses, available only to public officials, preclude or severely limit the damage remedy. Consequently, awarding counsel fees to prevailing plaintiffs in such litigation is particularly important and necessary if Federal civil and constitutional rights are to be adequately protected. To be sure, in a large number of cases brought under the provisions covered by H.R. 15460, only injunctive relief is sought, and prevailing plaintiffs should ordinarily recover their counsel fees."

(Footnote omitted.)

[[Footnote 5](#)]

This Court's Rule 21.1(a) provides: "The statement of a question presented will be deemed to comprise every subsidiary question fairly included therein." The question whether judicial immunity should have barred the injunctive relief awarded in this case is "fairly included" in the question presented.

[[Footnote 6](#)]

Although the Court in *Supreme Court of Virginia v. Consumers Union of United States, Inc.*, [446 U. S. 719](#) , [446 U. S. 735](#) (1980), did state that the Courts of Appeals appeared to be divided on the question, an examination of the recent pronouncements of those courts indicates that they are in agreement that judicial immunity is no bar to injunctive relief. See, e.g., *In re Justices of Supreme Court of Puerto Rico*, 696 F.2d 17 (CA1 1982); *Heimbach v. Lyons*, 597 F.2d 344 (CA2 1979); *Timmerman v. Brown*, *supra*; *Slavin v. Curry*, 574 F.2d 1256 (CA5), *vacated as moot*, 583 F.2d 779 (1978); *WXYZ, Inc. v. Hand*, 658 F.2d 420 (CA6 1981); *Harris v. Harvey*, 605 F.2d 330 (CA7 1979), *cert. denied*, 445 U.S. 938 (1980); *Richardson v. Koshiba*, 693 F.2d 911 (CA9 1982).

The Eighth Circuit at one time seems to have taken contradictory positions on whether judges are immune from declaratory and injunctive relief. *Compare Koen v. Long*, 428 F.2d 876 (1970), *aff'g* 302 F.Supp. 1383, 1389 (ED Mo.1969) (no immunity), *cert. denied*, 401 U.S. 923 (1971), *with Smallwood v. United States*, 486 F.2d 1407 (1973), *aff'g without opinion*, 358 F.Supp. 398, 403 (ED Mo.) (immunity), *and Tate v. Arnold*, 223 F.2d 782, 786 (1955) (same). That court indicated in 1975, however, that

"[t]his circuit has never decided whether those enjoying judicial immunity from damage suits are similarly immune from suits seeking equitable and injunctive relief,"

see Bonner v. Circuit Court of St. Louis, Missouri, 526 F.2d 1331, 1334, and it now expressly has declined to do so. *See R. W. T. v. Dalton*, 712 F.2d 1225, 1232, n. 9 (1983).

[[Footnote 7](#)]

See Supreme Court of Virginia v. Consumers Union of United States Inc., 446 U.S. at [446 U. S. 735](#) , and n. 14.

[[Footnote 8](#)]

See Feinman & Cohen, *Suing Judges: History and Theory*, 31 S.C.L.Rev. 201, 211 (1980). As will be demonstrated, it was not always easy to determine what actions were within a court's jurisdiction. A similar limitation was imposed on the King's authority to control the judge by use of the prerogative writs. It appears, however, that the jurisdictional limit was taken more seriously -- offering the judge more protection -- when the issue was personal liability for an erroneous judicial action than when the question involved the reach of the prerogative writs. *Compare Gwinne v. Poole*, 2 Lut. 935, 125 Eng.Rep. 522 (C.P. 1692), *with Gould v. Gapper*, 5 East. 345, 102 Eng.Rep. 1102 (K. B. 1804).

[[Footnote 9](#)]

The writ of prohibition appears to have been used more than the writ of mandamus to control inferior courts. Mandamus could issue to any person in respect of anything that pertained to his office and was in the nature of a public duty. See 1 Halsbury's Laws of England 1181 (4th ed.1973). The other prerogative writs are also of some relevance here. The writ of certiorari, for instance, issued to remove proceedings from an inferior tribunal to ensure that the court was keeping within its jurisdiction and effectuating the rules of the common law. Once a writ of certiorari was delivered to a judge, he was forbidden to proceed further in the case. Failure to suspend proceedings amounted to a contempt. See R. Pound, Appellate Procedure in Civil Cases 61 (1941).

[[Footnote 10](#)]

Gordon observes that the fiction that misconstruction of substantive law constitutes action in excess of jurisdiction has been abandoned, and the textbooks now show disregard of a statute as a ground for prohibition distinct from want or excess of jurisdiction. Gordon, 47 L.Q.Rev. at 394.

[[Footnote 11](#)]

In *Harrison v. Burlwell*, 2 Vent. 9, 86 Eng.Rep. 278 (K.B. 1670), the King's Bench granted a writ of prohibition against the Spiritual Court that had declared void as incestuous a marriage between a man and the woman who had been married to his great uncle. The court concluded that the Spiritual Court had misinterpreted the marriage as barred by the Levitical decree, and that it had no jurisdiction to declare void a marriage not barred by that decree. See also *Serjeant v. Dale*, 2 Q.B.D. 558 (1877) (prohibition to the Court of Arches issued to prevent a bishop from hearing a case in which he had an interest); *White v. Steele*, 12 Scott N.R. 383, 12 C.B. 383 (1862) (writ of prohibition issued to a Judge of the Arches Court of Canterbury until he allowed the introduction of evidence the common law required to be admitted).

Similar use of the writ can be found in more recent cases. In *King v. North*, [1927] 1 K.B. 491 (1926), a vicar had been ordered by the Consistory Court to pay for the

restoration of a fresco he was alleged to have caused to be painted over. He sought a writ of prohibition, claiming that he had had no notice or opportunity to be heard. The court concluded that deprivation of property without notice and an opportunity to be heard was contrary to the general laws of the land, and granted the prohibition.

[[Footnote 12](#)]

The court in *Gould* quoted from Blackstone, who described the use of the writ of prohibition as follows:

"This writ may issue either to inferior courts of common law; as, to the courts of the counties palatine or principality of Wales, if they hold plea of land or other matters not lying within their respective franchises; to the county courts or courts baron, where they attempt to hold plea of any matter of the value of forty shillings: or it may be directed to the courts christian, the university courts, the court of chivalry, or the court of admiralty, where they concern themselves with any matter not within their jurisdiction: as if the first should attempt to try the validity of a custom pleaded, or the latter a contract made or to be executed within this kingdom. Or, if, in handling of matters clearly within their cognizance, they transgress the bounds prescribed to them by the laws of England; as where they require two witnesses to prove the payment of a legacy, a release of tithes, or the like; in such cases also a prohibition will be awarded. For, as the fact of signing a release, or of actual payment, is not properly a spiritual question, but only allowed to be decided in those courts, because incident or accessory to some original question clearly within their jurisdiction; it ought therefore, where the two laws differ, to be decided not according to the spiritual, but the temporal law; else the same question might be determined different ways, according to the court in which the suit is depending: an impropriety, which no wise government can or ought to endure, and which is therefore a ground of prohibition. And if either the judge or the party shall proceed after such prohibition, an attachment may be had against them, to punish them for the contempt, at the discretion of the court that awarded it; and an action will lie against them, to repair the party injured in damages."

3 W. Blackstone, Commentaries *112-*113 (footnotes omitted).

[[Footnote 13](#)]

See also *Queen v. Adamson*, 1 Q.B.D. 201 (1875) (mandamus issued to require justices of the peace to hear applications for a summons to answer a charge of conspiracy to do grievous harm, where refusal had been based on distaste for the applicants' views); *Queen v. Marsham*, [1892] 1 Q.B. 371 (1891) (mandamus issued to require a magistrate to hear legal evidence).

[[Footnote 14](#)]

Blackstone indicates that a writ of prohibition properly issued

"only out of the court of king's bench, being the king's prerogative writ; but for the furtherance of justice, it may now also be had in some cases out of the court of chancery, common pleas, or exchequer; directed to the judge and parties, of a suit in any inferior court, commanding them to cease from the prosecution thereof."

3 W. Blackstone, Commentaries *112 (footnotes omitted). The significant point is that the ecclesiastical and inferior courts could not retaliate against the King's Bench by use of the writ.

[[Footnote 15](#)]

See, e.g., *Floyd and Barker*, 12 Co.Rep. 23, 77 Eng.Rep. 1305 (K. B. 1607) (criminal prosecution for conspiracy); *Taaffe v. Downes*, reprinted in footnote in *Calder v. Halket*, 13 Eng.Rep. 12, 15, n. (a) (P.C. 1840) (damages for assault and false imprisonment); *Scott v. Stansfield*, 3 L.R.Ex. 220 (1868) (damages for slander); [Randall v. Brigham](#), 7 Wall. 523 (1869) (damages for removing an attorney from the bar); [Bradley v. Fisher](#), 13 Wall. 335 (1872) (damages for improperly removing the plaintiff from the rolls of court); *Pierson v. Ray*, [386 U. S. 547](#) (1967) (damages for false conviction); *Stump v. Sparkman*, [435 U. S. 349](#) (1978) (damages resulting from the judge's order that the plaintiff be sterilized).

[[Footnote 16](#)]

See, e.g., *United States v. McLeod*, 385 F.2d 734 (CA5 1967) (injunction to protect Negroes who attempted to register to vote from harassing actions by state officials, including a judge); *Fernandez v. Trias Monge*, 586 F.2d 848 (CA1 1978) (injunction against unconstitutional pretrial detention procedure); *WXYZ, Inc. v. Hand*, 658 F.2d 420 (CA6 1981) (injunction against enforcement of a court's "gag" order, when the court had threatened violators with contempt).

[[Footnote 17](#)]

When the question is whether a federal court should enjoin a pending state court proceeding, "even irreparable injury is insufficient unless it is *both great and immediate.*" *Younger v. Harris*, [401 U. S. 37](#) , 401 U. S. 46 (1971), quoting *Fenner v. Boykin*, [271 U. S. 240](#) , [271 U. S. 243](#) -244 (1926). See discussion at [n](#) 19, *infra*.

[[Footnote 18](#)]

Article III also imposes limitations on the availability of injunctive relief against a judge. See *In re Justices of Supreme Court of Puerto Rico*, 695 F.2d 17, 21 (CA1 1982) (no case or controversy between a judge who adjudicates claims under a statute and a litigant who attacks the constitutionality of the statute). See also *Los Angeles v. Lyons*, [461 U. S. 95](#) (1983) (claims for injunctive relief against unconstitutional state practice too speculative).

[[Footnote 19](#)]

In *Hall v. West*, 335 F.2d 481 (CA5 1964), a petition for writ of mandamus was filed by Negro plaintiffs in a civil rights case that had been pending before the District Court more than 11 years. Although two other District Courts, affirmed by this Court, had declared unconstitutional the Louisiana segregated school system and the state statute passed to allow the school board to close public schools to avoid desegregation, the board had made clear that it intended to take no action to change the segregated system without a further order from the District Court. The court, however, refused to act. The Court of Appeals therefore issued a writ of mandamus, compelling the District Court to order the defendants to submit a plan

for the commencement of desegregation of the schools under their control. See also *In re Attorney General of the United States*, 596 F.2d 58 (CA2) (writ of mandamus granted to vacate District Court's contempt order against the Attorney General), *cert. denied*, 444 U.S. 903 (1979).

Whether or not the judge is required to appear personally in the proceeding, see the dissent, *post* at [466 U. S. 552](#), he remains a party to the suit and risks contempt for violating the writ. See *In re Smith*, 2 Cal.App. 158, 83 P. 167 (1905); *State v. Williams*, 7 Rob. 252 (La. 1844); *People ex rel. Bristol v. Pearson*, 4 Ill. 270 (1841). And although courts properly are reluctant to impose costs against a judge for actions taken in good faith performance of his judicial responsibilities, a court, in its discretion, may award costs against a respondent judge. See *State e rel. Clement v. Grzezinski*, 158 Ohio St. 22, 106 N.E.2d 779 (1952).

[[Footnote 20](#)]

See *O'Shea v. Littleton*, [414 U. S. 488](#) (1974) (rejecting, on Art. III and *Younger v. Harris* grounds, an injunction issued against state judicial officials, although the Court of Appeals, see *Littleton v. Berbling*, 468 F.2d 389 (CA7 1972), had devoted the bulk of its opinion to judicial immunity). A state judge was among the defendants in *Mitchum v. Foster*, [407 U. S. 225](#) (1972), where the Court recognized 1983 as an explicit exception to the anti-injunction statute, but reaffirmed "the principles of equity, comity, and federalism that must restrain a federal court when asked to enjoin a state court proceeding." *Id.* at [407 U. S. 243](#).

[[Footnote 21](#)]

The Court assumed that the judge was performing a ministerial, rather than a judicial, function. It went on to conclude, however, that even if the judge had been performing a judicial function, he would be liable under the statute. 100 U.S. at [100 U. S. 348](#) -349.

[[Footnote 22](#)]

O'Shea v. Littleton, 414 U.S. at [414 U. S. 502](#) . Virginia provides, for instance, for appellate review of orders denying bail or requiring excessive bail, see Va.Code 19.2-124 (1983), and for state habeas corpus relief from unlawful detention, see Va.Code 8.01-654 (Supp.1983). On the other hand, the nature and short duration of the pretrial detention imposed by petitioner was such that it may have been impossible for respondents to avail themselves of these remedies. *Cf. Gerstein v. Pugh*, [420 U. S. 103](#) , [420 U. S. 110](#) , n. 11 (1975).

The fact that "[t]here has been no showing to this effect," *post* at [466 U. S. 554](#) , n. 13, is hardly a sufficient basis for rejecting the relief awarded here or for questioning the effectiveness of the limitations on equitable relief in curtailing the risk of harassment from suits for such relief. What the dissenters ignore is that petitioner did not challenge the relief awarded against her. "There has been no showing" because respondents never have been called on to make such a showing.

For similar reasons, there is no merit to the dissenters' insistence that the scope of the injunctive order entered here illustrates the threat to judicial independence inherent in allowing injunctive relief against judges. See *post* at [466 U. S. 554](#) - 555. In the first place, the dissenters' interpretation of the District Court's order is by no means compelled by the language of that order. The order merely declared the constitutional limits on pretrial detention for dangerousness. There was no suggestion before the District Court that petitioner had misapplied the provision for pretrial detention for dangerousness. Accordingly, petitioner was enjoined only from the

"practice and course of conduct in Culpeper County, Virginia, under which persons are confined prior to trial on offenses for which no jail time is authorized solely because they cannot meet bond."

App. to Pet. for Cert. 11. No judgment calls are required in following the court's order that petitioner no longer impose bond for offenses for which no incarceration is authorized by statute. More important, to the extent that the scope of the District Court's order may be unclear, that issue should have been raised by appeal from

the injunctive relief, where, had petitioner demonstrated that the injunctive relief ordered against her was too intrusive, the Court of Appeals no doubt would have ordered the District Court to tailor its relief more narrowly. See *O'Shea v. Littleton*, *supra*.

[[Footnote 23](#)]

As further indication of Congress' intent that 1988 apply to judicial officers, the House Report contains a citation to *Pierson v. Ray*, [386 U. S. 547](#) (1967). Petitioner suggests that the citation to *Pierson* refers to another aspect of the decision, regarding qualified immunities of officials in the Executive Branch. We see no need to adopt such a strained interpretation. The House Report clearly referred to public officials against whom damages were precluded, as well as those against whom damages were limited. Of the three cases cited by the House Report, only *Pierson* involved complete preclusion of a damages award.

JUSTICE POWELL, with whom THE CHIEF JUSTICE, JUSTICE REHNQUIST, and JUSTICE O'CONNOR join, dissenting.

The Court today reaffirms the rule that judges are immune from suits for damages, but holds that they may be sued for injunctive and declaratory relief and held personally liable for money judgments in the form of costs and attorney's fees merely on the basis of erroneous judicial decisions. The basis for the Court's distinction finds no support in common law, and in effect eviscerates the doctrine of judicial immunity that the common law so long has accepted as absolute.

The Court recognizes that the established principle of judicial immunity serves as the bulwark against threats to "independent judicial decisionmaking," *ante* at [466 U. S. 531](#) . Yet, at the same time, it concludes that judicial immunity does not bar suits for injunctive or declaratory relief with the attendant claims for costs and attorney's fees. The Court reasons that,

"[f]or the most part, injunctive relief against a judge raises concerns different from those addressed by the protection of judges from damages awards."

Ante at [466 U. S. 537](#) . This case illustrates the unsoundness of that reasoning. The Court affirms a \$7,691.09 money judgment awarded against a state Magistrate on the determination that she made erroneous judicial decisions with respect to bail and pretrial detentions. Such a

Page 466 U. S. 545

judgment poses the same threat to independent judicial decisionmaking whether it be labeled "damages" of \$7,691.09 or "attorney's fees" in that amount. Moreover, as was held a century and a half ago, an "action before one Judge for what is done by another . . . [is a] case . . . against the independence of the Judges." *Taaffe v. Downes*, reprinted in footnote in *Calder v. Halket*, 13 Eng.Rep. 12, 18, n. (a) (P. C. 1840). The burdens of having to defend such a suit are identical in character and degree, whether the suit be for damages or prospective relief. The holding of the Court today subordinates realities to labels. The rationale of the common law immunity cases refutes the distinction drawn by the Court.

I

Since 1869, this Court consistently has held that judges are absolutely immune from civil suits for damages. See, e.g., *Stump v. Sparkman*, [435 U. S. 349](#) (1978); *Pierson v. Ray*, [386 U. S. 547](#) (1967); *Bradley v. Fisher*, 13 Wall. 335 (1872); *Randall v. Brigham*, 7 Wall. 523 (1869). We have had no occasion, however, to determine whether judicial immunity bars a 1983 suit for prospective relief. See *Supreme Court of Virginia v. Consumers Union of United States, Inc.*, [446 U. S. 719](#) , [446 U. S. 735](#) (1980). [[Footnote 2/1](#)] It is clear that Congress did not limit the

Page 466 U. S. 546

scope of common law immunities in either 1983 [[Footnote 2/2](#)] or 1988. [[Footnote 2/3](#)] We, therefore, have looked to the common law to determine when absolute immunity should be available. A review of the common law reveals nothing that suggests -- much less requires -- the distinction the Court draws today

between suits for prospective relief (with the attendant liability for costs and attorney's fees) and suits for damages.

The doctrine of judicial immunity is one of the earliest products of the English common law. [[Footnote 2/4](#)] It was established to protect the finality of judgments from continual collateral attack in courts of competing jurisdiction [[Footnote 2/5](#)] and to protect

Page 466 U. S. 547

judicial decisionmaking from intimidation and outside interference. [[Footnote 2/6](#)] Gradually, the protection of judicial independence became its primary objective. The specific source of intimidation articulated by the English common law cases was the threat of vexatious litigation should judges be required to defend their judicial acts in collateral civil proceedings. In *Taaffe v. Downes, supra*, at 18, n. (a), the justices observed:

"If you once break down the barrier . . . and subject [Judges] to an action, you let in upon the judicial authority a wide, wasting, and harassing persecution. . . ."

The common law cases made no reference to the effect on judicial independence of particular remedies such as an award of damages.

The early opinions of this Court echo the principal justification for the immunity doctrine articulated at English common law. In *Bradley v. Fisher, supra*, the emphasis was on the

Page 466 U. S. 548

burden of harassing and vexatious litigation. The Court observed:

"If . . . a judge could be compelled to answer in a civil action for his judicial acts, . . . he would be subjected for his protection to the necessity of preserving a complete record of all the evidence produced before him in every litigated case, and of the authorities cited and arguments presented, in order that he might be able to show to the judge before whom he might be summoned by the losing party

. . . that he had decided as he did with judicial integrity; and the second judge would be subjected to a similar burden, as he, in his turn, might also be held amenable by the losing party."

Id. at [80 U. S. 349](#) . Addressing the need for judicial independence, the Court therefore concluded:

"The public are deeply interested in th[e] rule [of judicial immunity], which . . . was established in order to secure the independence of the judges, and prevent them being harassed by vexatious actions."

Ibid. (quoting *Fray v. Blackburn*, 3 B. & S. 576, 578, 122 Eng.Rep. 217(1863)).

The justification for the immunity doctrine emphasized in *Bradley* has been repeated in subsequent decisions by this Court. See, e.g., *Pierson v. Ray*, 386 U.S. at [386 U. S. 554](#) ; *Butz v. Economou*, [438 U. S. 478](#) , [438 U. S. 512](#) (1978). In these cases as well, the burdens of litigation, rather than the threat of pecuniary loss, are cited as posing a threat to judicial independence and occasioning the need for immunity. These burdens apply equally to all suits against judges for allegedly erroneous or malicious conduct. It is immaterial whether the relief sought is an injunction, as in this case, or damages, as in *Pierson v. Ray* or *Stump v. Sparkman*. Indeed, the Court today, largely ignoring that it was the burden of litigation that motivated the common law immunity, makes no argument to the contrary. Unless the rationale of *Bradley* and

Page 466 U. S. 549

the common law cases is rejected, judicial immunity from suits against judges for injunctive relief must be coextensive with immunity from suits for damages.

II

A

The Court nevertheless argues that the common law of England can be viewed as supporting the absence of immunity where the suit is for injunctive relief. The

Court concedes, as it must, that suits for injunctive relief against a judge could not be maintained either at English common law or in the English courts of equity. *Ante* at [466 U. S. 529](#) . Injunctive relief from inequitable proceedings at common law was available in equity "to stay [a common law] trial; or, after verdict, to stay judgment; or, after judgment, to stay execution." J. Story, *Equity Jurisprudence* 874, p. 72 (11th ed. 1873). But such relief was available only against the parties to the common law proceedings, and not against the judge. *Id.* 875, at 72. The suit for injunctive relief at issue here is precisely the type of suit that the Court concedes could not have been maintained either at common law or in equity. The Court, however, reasons that the writs of prohibition and mandamus present a "common law parallel to the 1983 injunction at issue here." *Ante* at [466 U. S. 529](#) .

The prerogative writs of mandamus and prohibition are simply not analogous to suits for injunctive relief from the judgments of common law courts, and the availability of these writs against judicial officials has nothing to do with judicial immunity. It has long been recognized at common law that judicial immunity protects only those acts committed within the proper scope of a judge's jurisdiction, but provides no protection for acts committed in excess of jurisdiction. [[Footnote 2/7](#)]

Page 466 U. S. 550

Because writs of prohibition and mandamus were intended only to control the proper exercise of jurisdiction, [[Footnote 2/8](#)] they posed no threat to judicial independence and implicated none of the policies of judicial immunity. Thus, the judges of England's inferior courts were subject to suit for writs of mandamus and prohibition, but judicial immunity barred all suits attacking judicial decisions made within the proper scope of their jurisdiction. [[Footnote 2/9](#)] There is no allegation in this case that petitioner exceeded her jurisdiction. The suit for injunctive relief is based solely on an erroneous construction and application of law. It is precisely this kind of litigation that the common law doctrine of judicial immunity was intended to prohibit.

B

The Court's observation that prerogative writs may have been used at English common law to correct errors of judgment, rather than excesses of jurisdiction, is irrelevant to the case at bar. We "rely on the common law practice in shaping our own doctrine of judicial immunity," *ante* at [466 U. S. 536](#) , only to the extent that the common law practices consulted are consistent with our own judicial systems. The Court's reliance on English common law practice ignores this constraint. It was the rivalry between the English temporal and spiritual courts that induced the King's Bench to adopt the myth that

Page 466 U. S. 551

misapplication of substantive common law affects the court's jurisdiction. [[Footnote 2/10](#)] As the Court points out, the relationship between the King's Bench and its rival ecclesiastical courts finds no parallel in our judicial system. *Ante* at [466 U. S. 535](#) . There is no indication that the courts of this country ever resorted to the fictional use of prerogative writs found at English common law. To the contrary, our courts expressly have rejected the fiction, and have limited the use of mandamus and prohibition to jurisdictional issues or to cases where the court has a clear duty to act. See *Roche v. Evaporated Milk Assn.*, [319 U. S. 21](#) , [319 U. S. 26](#) (1943). See also *Bankers Life & Casualty Co. v. Holland*, [346 U. S. 379](#) , [346 U. S. 382](#) -383 (1953); *Will v. United States*, [389 U. S. 90](#) , [389 U. S. 103](#) -104 (1967).

Nor is there any indication that the expansive use of prerogative writs in England modified the doctrine of judicial immunity in this country. [[Footnote 2/11](#)] Indeed, the sparing use of the

Page 466 U. S. 552

writs of prohibition and mandamus in American jurisprudence has been motivated in large part by the concern for judicial independence. Cases counseling restraint in the use of prerogative writs repeatedly have observed that such writs have "the unfortunate consequence" of "plac[ing] trial judges in the anomalous position of

being litigants without counsel other than uncompensated volunteers." *La Buy v. Howes Leather Co.*, [352 U. S. 249](#) , [352 U. S. 258](#) (1957). See also *Kerr v. United States District Court*, [426 U. S. 394](#) , [426 U. S. 402](#) (1976); *Bankers Life & Casualty Co.*, *supra*, at [346 U. S. 384](#) -385; *Ex parte Fahey*, [332 U. S. 258](#) , [332 U. S. 259](#) -260 (1947). In response to this concern, the Federal Rules of Appellate Procedure have provided that the respondent judge in a proceeding for mandamus or prohibition may elect not to appear in the proceeding without conceding the issues raised in the petition. Fed.Rule App.Proc. 21(b). [[Footnote 2/12](#)] Finally, courts consistently have held that concerns for judicial independence require that any award of costs to a prevailing party in an action for mandamus or prohibition be made only against the party at interest, and not against the judge. The United States Court of Appeals for the First Circuit explained:

"It would be contrary to the fundamental rules protecting the freedom of judicial action to tax costs against a judge of any one of the constitutional courts of the United States by reason of any failure to apprehend the

Page 466 U. S. 553

law correctly."

In re Haight & Freese Co., 164 F. 688, 690 (1908). Accord, *Cotler v. Inter-County Orthopaedic Assn.*, 530 F.2d 536, 538 (CA3 1976).

In sum, the perceived analogy to the use of prerogative writs at English common law simply does not withstand analysis. As shown above, the analogy rests on a peculiar practice at English common law that was occasioned by the unique relationship between the King's Bench and England's ecclesiastical courts. That relationship finds no parallel in this country. Moreover, our courts, and the Federal Rules of Appellate Procedure, have sought to limit the use of mandamus and prohibition for the very purpose of protecting judicial immunity. It is extraordinary, therefore, that the Court today should rely on the use of prerogative writs in England to justify exposing judicial officials in this country to harassing litigation

and to subject them to personal liability for money judgments in the form of costs and attorney's fees.

III

The Court suggests that the availability of injunctive relief under 1983 poses no serious

"risk that judges will be harassed and their independence compromised by the threat of having to defend themselves against suits by disgruntled litigants."

Ante at [466 U. S. 537](#) -538. The reasons advanced for this optimism are that equitable relief will be unavailable unless the plaintiff can show "an inadequate remedy at law and . . . a serious risk of irreparable harm." *Ibid.* Again, this suit refutes the Court's argument. Adequate remedies were expressly available to each of the respondents under state law. [[Footnote 2/13](#)]

Page 466 U. S. 554

Nor was there any showing in this case of irreparable harm in the absence of injunctive relief. Nevertheless, petitioner was forced to bear the burdens of extended litigation, making clear the need for absolute judicial immunity. [[Footnote 2/14](#)]

As discussed, both the English common law cases and the decisions of this Court identify the burdens of harassing litigation, rather than the threat of pecuniary loss, as threatening judicial independence. In suits for injunctive relief, just as in suits for damages, the likely scenario was well stated by one of the justices in *Taaffe v. Downes*:

"[Without the doctrine of judicial immunity, judges] become amenable to every other species of correction by a Court. . . . One hour at the bar -- the next at the bench, of the same or some other Court. They would have a busy and harassing time, getting from one station to the other -- from the Judge to the accused -- from the corrector to the corrected."

13 Eng.Rep. at 20, n. (a). The ever-present threat of burdensome litigation, made realistic by today's decision, may well influence judicial determinations, particularly in close cases where the decision is likely to be unpopular.

Page 466 U. S. 555

Suits for injunctive relief may pose even greater threats to judicial independence if they are successful, and an injunction is ordered. The specter of contempt proceedings for alleged violations of injunctive orders is likely to inhibit unbiased judicial decisionmaking as much as the threat of liability for damages. Again, this suit is a case in point. The injunctive order entered here was of unlimited duration, and enjoined petitioner from authorizing the pretrial detention of any person charged with a certain class of misdemeanor unless that person was "lawfully deemed likely to be a danger to himself or to others," and "only so long as such danger persists." App. 22. Whether a particular defendant is "likely to be a danger to himself or to others" and how "long [that danger will] last" are questions normally and necessarily left to the discretion of the presiding judge. The threat of contempt -- with the possibility of a fine or even imprisonment -- could well deter even the most courageous judge from exercising this discretion independently and free from intimidation. [[Footnote 2/15](#)]

Finally, harassing litigation and its potential for intimidation increases in suits where the prevailing plaintiff is entitled to attorney's fees. Perhaps for understandable reasons, the Court's opinion passes lightly over the effect of 1988. In fact, that provision has become a major additional source of litigation. Since its enactment in 1976, suits against state

Page 466 U. S. 556

officials under 1983 have increased geometrically. [[Footnote 2/16](#)] Congress enacted 1988 for the specific purpose of facilitating and encouraging citizens of limited means to obtain counsel to pursue 1983 remedies. But 1983 and 1988 are available regardless of the financial ability of a plaintiff to engage private counsel. The lure of substantial fee awards, [[Footnote 2/17](#)] now routinely made to

prevailing 1983 plaintiffs, assures that lawyers will not be reluctant to recommend and press these suits. [[Footnote 2/18](#)] The Court again ignores reality when it suggests

Page 466 U. S. 557

that the availability of injunctive relief under 1983, combined with the prospect of attorney's fees under 1988, poses no serious threat of harassing litigation, with its potentially adverse consequences for judicial independence.

IV

In sum, I see no principled reason why judicial immunity should bar suits for damages but not for prospective injunctive relief. The fundamental rationale for providing this protection to the judicial office -- articulated in the English cases and repeated in decisions of this Court -- applies equally to both types of asserted relief. The underlying principle, vital to the rule of law, is assurance of judicial detachment and independence. Nor is the Court's decision today in the broader public interest that the doctrine of absolute immunity is intended to serve. *Bradley*, 13 Wall. at [80 U. S. 349](#) .

[[Footnote 2/1](#)]

Respondents' argument that this Court has "at least implied that judicial immunity did not bar [declaratory or injunctive] relief" misreads the precedents. Brief for Respondents 12. Respondents rely on the cases cited in note 14 of the Court's opinion in *Consumers Union*, 446 U.S. at [446 U. S. 735](#) . None of those cases addressed the issue of judicial immunity from prospective relief. In *Mitchum v. Foster*, [407 U. S. 225](#) (1972), appellant filed a 1983 claim against state judicial and law enforcement officials seeking to enjoin state court proceedings under an allegedly unconstitutional state law. The only issue considered by this Court was whether 1983 was an authorized exception to the anti-injunction statute that allowed federal courts to enjoin state court proceedings. In *Boyle v. Landry*, [401 U. S. 77](#) (1971), appellees filed a 1983 claim against state judicial and law enforcement officials seeking to enjoin the enforcement of state statutes on the

ground that such enforcement was used to harass and deter appellees from exercising their constitutional rights. This Court found that appellees had not been threatened with prosecution and held that the lower court had lacked Art. III jurisdiction. The suit against judicial officials in *O'Shea v. Littleton*, [414 U. S. 488](#) (1974), was dismissed on the same ground. Although the lower court in *Gerstein v. Pugh*, [420 U. S. 103](#) (1975), had ordered injunctive relief against judicial officers, only the state prosecutor sought review. Thus, the Court did not consider the propriety of the relief awarded against the judicial officers.

[[Footnote 2/2](#)]

See *Pierson v. Ray*, [386 U. S. 547](#) , [386 U. S. 554](#) -555 (1967).

[[Footnote 2/3](#)]

In *Consumers Union, supra*, at [446 U. S. 738](#) , the Court observed that

"[t]here is no . . . indication in the legislative history of the Act to suggest that Congress intended to permit an award of attorney's fees to be premised on acts for which defendants would enjoy absolute legislative immunity."

Similarly, there is no indication in the legislative history of the Act to suggest that Congress intended to diminish the scope of judicial immunity.

[[Footnote 2/4](#)]

The doctrine was recognized as early as the reign of Edward III (1327-1377). See 6 W. Holdsworth, *A History of English Law* 234-235 (2d ed.1937).

[[Footnote 2/5](#)]

During the early medieval period, there was no such thing as an appeal from court to court. Judges were not immune from suits attacking their judicial acts, and the common procedure for challenging a judicial ruling was to file a complaint of "false judgment" against the judge. 1 W. Holdsworth, *A History of English Law* 213-214 (7th ed.1956); 6 Holdsworth at 235. At this time, the King's Bench was the central

common law court, and it vied for jurisdiction with the local feudal courts and the ecclesiastical courts. To protect the finality and authoritativeness of its decisions from collateral attack in these competing courts, the King's Bench borrowed the idea of appellate procedure from the ecclesiastical courts. R. Pound, *Appellate Procedure in Civil Cases* 25-26 (1941). To ensure this procedure, it was necessary to immunize the judicial acts of common law judges from collateral attack -- hence the doctrine of judicial immunity.

[[Footnote 2/6](#)]

Because the judge, rather than the prevailing party to the original suit, became the named defendant in a complaint for false imprisonment, it was the judge who suffered the burdens of litigation and the consequences of any adverse judgment. The burdens of litigation could be substantial. In the early days, the defendant judge was required, at his own expense, to prepare a record setting forth the proceedings upon which his challenged judicial decisions were made and to send four suitors of the court to bring the record before the King's Bench. *Id.* at 26. If the judgment was found to be false, the judge was amerced or fined. 6 Holdsworth at 235. The common law recognized that the threat of personal litigation would jeopardize the independence of judicial decisionmaking: judges, to avoid being called before a hostile tribunal to account for their judicial acts, could be deterred by personal considerations from judging dispassionately the merits of the cases before them. *See Taaffe v. Downes*, 13 Eng.Rep. at 23, n. (a) ("A Judge . . . ought to be uninfluenced by any personal consideration whatsoever operating upon his mind, when he is hearing a discussion concerning the rights of contending parties; otherwise, instead of hearing them abstractedly, a considerable portion of his attention must be devolved to himself").

[[Footnote 2/7](#)]

See 6 Holdsworth, *supra*, n. 4, at 236-237:

"[I]n *The Case of the Marshalsea*, 'a difference was taken when a court has jurisdiction of the cause, and proceeds . . . erroneously, there . . . no action lies

[against a judge]. . . . But when the court has not jurisdiction of the cause, then the whole proceeding is *coram on iudice*, and actions [against the judge] will lie."

(Quoting *Case of the Marshalsea*, 10 Co.Rep. 68b, 76a, 77 Eng.Rep. 1027, 1038 (K. B. 1613)). See also [Bradley v. Fisher](#), 13 Wall. 335, [80 U. S. 351](#) -353 (1872).

[[Footnote 2/8](#)]

See 1 Holdsworth, *supra*, n. 5, at 228-229.

[[Footnote 2/9](#)]

Holdsworth observed:

"[I]t is agreed that the judges in the king's superior courts are not liable to answer personally for their errors in judgment. . . . [I]n courts of special and limited jurisdiction . . . a distinction must be made, but while acting within the line of their authority, they are protected as to errors in judgment; otherwise they are not protected."

6 Holdsworth, *supra*, at 239, n. 4 (quoting *Miller v. Seare*, 2 Bl.W. 1141, 1145, 96 Eng.Rep. 673, 674-675 (K.B. 1777)).

[[Footnote 2/10](#)]

For example, the Court cites Gordon, *The Observance of Law as a Condition of Jurisdiction*, 47 L.Q.Rev. 386, 393 (1931), which provides:

"The idea that to misapply or fail to apply substantive . . . law affects a judicial tribunal's jurisdiction, even when it acts within its province, is now generally recognized as wrong. That there was at one time doubt upon the point was due to the former hostility of the King's Bench toward . . . the ecclesiastical Courts. Although the King's Bench admitted it could not redress mere error in such Courts, it could, of course, restrain their excesses of jurisdiction through the writ of prohibition. And under the pretext that it was merely keeping them within their

jurisdiction, it issued prohibitions to these Courts whenever they applied or construed any statute in a way the King's Bench did not approve of."

(Footnotes omitted.) See *also* 3 W. Blackstone, Commentaries *113-115; Dobbs, The Decline of Jurisdiction By Consent, 40 N.C.L.Rev. 49, 60-61 (1961).

[[Footnote 2/11](#)]

As early as the decision in *Bradley v. Fisher*, this Court drew a clear distinction between erroneous judicial acts committed within a judge's jurisdiction, for which there was absolute immunity, and acts committed in excess of jurisdiction, for which there was none. 13 Wall. at [80 U. S. 351](#) -353. This distinction, coupled with the principle that writs of mandamus and prohibition could issue only to correct clear jurisdictional errors, hardly suggests that the easy availability of prerogative writs against England's ecclesiastical courts limited the scope of judicial immunity in this country.

[[Footnote 2/12](#)]

Rule 21(b) provides in relevant part:

"If the judge or judges named respondents do not desire to appear in the proceeding, they may so advise the clerk and all parties by letter, but the petition shall not thereby be taken as admitted."

Indeed, the Court of Appeals for the District of Columbia Circuit has not even required that the judge be joined as a party. In *United States v. King*, 157 U.S.App.D.C. 179, 183, 482 F.2d 768, 772 (1973), the court reasoned:

"In the federal courts, when the purpose of mandamus is to secure a ruling on the intrinsic merits of a judicial act, the judge need not -- and desirably should not -- be named as an active party, but at most only as a nominal party with no real interest in the outcome."

[[Footnote 2/13](#)]

The Court says that "it may have been impossible for respondents to avail themselves" of other remedies provided by Virginia law. *Ante* at [466 U. S. 542](#) , n. 22. Virginia law, however, provides two specific remedies for alleged unlawful detention. Virginia Code 8.01-654 (Supp.1983) provides that a "writ of habeas corpus . . . shall be granted *forthwith* by any circuit court" to any person who shows there is probable cause to believe he is being unlawfully detained (emphasis added). Moreover, Virginia Code 19.2-124 (1983) provides a specific procedure for appealing unreasonable bail determinations "successively to the next higher court . . . up to and including the Supreme Court of Virginia." The Court suggests that, in view of the short duration of pretrial detention here, these remedies may not have been available. There has been no showing to this effect. In any event, *Stump v. Sparkman*, [435 U. S. 349](#) (1978), indicates that judicial immunity does not depend upon the availability of other remedies.

[[Footnote 2/14](#)]

Responding to this dissent, the Court states that there has been no showing of unavailability of alternative remedies because petitioner never challenged the injunctive relief awarded. *Ante* at [466 U. S. 542](#) , n. 22. The point, however, is that this suit for injunctive relief was allowed to proceed against a judicial official without a showing, or finding by the District Court, that alternative remedies were unavailable, or that there would be irreparable harm.

[[Footnote 2/15](#)]

The Court states that

"[n]o judgment calls are required in following the court's [injunctive] order that petitioner no longer impose bond for offenses for which no incarceration is authorized by statute."

Ante at [466 U. S. 542](#) , n. 22. This statement is inaccurate. The Virginia statute (now repealed) under which respondents' bail was set permitted jail time for nonincarcerable offenses if the magistrate determined that the arrestee posed a danger to himself or to others. The determination of dangerousness, of course,

requires a "judgment call" by the judicial official. By enjoining petitioner from authorizing pretrial detention for arrestees charged with nonincarcerable offenses "solely because they cannot meet bond," the District Court's order threatened mistaken "judgment calls" with contempt proceedings. Injunctive relief often will limit a judicial officer's discretion by increasing the risk of contempt.

[[Footnote 2/16](#)]

Civil rights cases accounted for 8.3% of the total civil litigation in the Federal District Courts for the 12 months ended June 30, 1982, and in 1982, civil rights suits filed by state prisoners against state officials had increased 115.6% over the number of similar suits filed in 1977, before the prospect of a fee award under 1988 became an added incentive to 1983 claims. Annual Report of the Director of the Administrative Office of the United States Courts 100-103 (1982).

[[Footnote 2/17](#)]

Recent fee awards under 1988 have increased with the precipitous rise in hourly rates. In *Blum v. Stenson*, [465 U. S. 886](#) (1984), for example, hourly rates of \$95 to \$105 for second- and third-year associates were found to be the "prevailing rates" in the community. Indeed, large fee awards recently have been awarded against state court judges. See, e.g., *Morrison v. Ayoob*, No. 78-267 (WD Pa.1983) (fees of \$17,412 and \$5,075 awarded against state court judges in suit for injunctive and declaratory relief), *aff'd*, 727 F.2d 1100 (CA3), *rehearing denied*, 728 F.2d 176 (1984), *cert. denied, post*, p. 973.

[[Footnote 2/18](#)]

Nor, as this case illustrates, do the burdens of litigation necessarily end when a district court approves a fee as reasonable. The Court's decision makes it likely that a request for an additional fee will be made for services rendered in the Court of Appeals and this Court. Such a request could result in ongoing litigation. Regrettably, disputes over the reasonableness of 1988 fee awards often become the major issue in the entire litigation. This is demonstrated by the fact that two attorney's fees cases have been litigated in this Court in successive Terms.

Hensley v. Eckerhart, [461 U. S. 424](#) (1983); *Blum v. Stenson*, *supra*. See also *Copeland v. Marshall*, 205 U.S.App.D.C. 390, 641 F.2d 880 (1980) (en banc); *National Assn. of Concerned Veterans v. Secretary of Defense*, 219 U.S.App.D.C. 94, 675 F.2d 1319 (1982). Moreover, work on fee petitions may be compensated at higher hourly rates than work on the merits. See, e.g., *Morrison v. Ayoob*, *supra*, (hourly rates of \$40 and \$75 awarded to legal services firm that initially prosecuted the 1983 claim; fees of \$45 and \$110 awarded to private firm hired to prepare and litigate the fee petition).

SooperKanoon - India's Premier Online Legal Search - sooperkanoon.com