

Depositories Act, 1996

Rule 28 - RULE 28: REPEAL AND SAVING

(1) The Depositories (Appeal to the Central Government) Rules, 1998 are hereby repealed.(2) Notwithstanding such repeal anything done or any action taken under the said rules, shall be deemed to have been done or taken under the corresponding provisions of these rules.

FORM 01: MEMORANDUM OF APPEAL

For use in Appellate Tribunal's Office Date of presentation in the registry Date of receipt by post
Registration number Signature Registrar Before the Securities Appellate Tribunal In the matter of the
Depositories Act, 1996 (22 of 1996) and In the matter of appeal against the order made
on..... by..... A.B. Appellant C.D. and other-Respondent(s) Details of appeal:

1. Particulars of the appellant: (i) Name of the appellant (ii) Address of registered office of the appellant (iii) Address of service of all notices (iv) Telephone/Fax Number and e-mail address, if any 2. Particulars of the respondents(s): (i) Name of the respondent(s). (ii) Office address of the respondents. (iii) Address of respondents) for service of all notices. (iv) Telephone/Fax Number and e-mail address, if any. 3. Jurisdiction of the Appellate Tribunal.-The appellant declares that the matter of appeal falls within the jurisdiction of the Appellate Tribunal. 4. Limitation.-The appellant further declares that the appeal is within the limitation as prescribed in Sec. 23-D of the Depositories Act, 1996 (22 of 1996). 5. Facts of the case and the details of the order against which appeal is filed: The facts of the case are given below: (give here a concise statement of facts and grounds of appeal against the specified order in a chronological order, each paragraph containing as neatly as possible as separate issue, fact or otherwise) 6. Relief(s) sought.-In view of the facts mentioned in paragraph 5 above, the appellant prays for the following reliefs) (Specify below the reliefs) sought explained the grounds for relief(s) and the legal provisions, of any, relied upon). 7. Interim order, if prayed for.-Pending final decision of the appeal the appellant seeks issue of the following interim order: (Give here the nature of the interim order prayed for with reasons) 8. Matter not pending with any other court etc.- The appellant further declares that the matter regarding with this appeal has been made is not pending before any court of law or any other authority or any other Tribunal. 9. Particulars in respect of the fee paid in terms of Rule 9 of these rules.- (1) Amount of fees (2) Name of the Bank on which Demand Draft is drawn (3) Demand draft number 10. Details of Index.-An index containing the details of the documents to be relied upon is enclosed. 11. List of enclosures.- (Signature of the appellant/Authorised Representative) Verification I,..... (Name in block letters) son/daughter/wife of Shri being the appellant/Authorised Representative of..... (Name of the appellant) do hereby verify that the contents of paras 1 to 11 are true to my personal knowledge and belief and that I have not suppressed any material facts, Signature of the appellant/Authorised Representative Place: Date: To The Registrar [Noti. No. F. No. 11691 SE 198, dt. 18.2.2000-Gaz. of India, Exty., Pt. II-Sec. 3(i). No. 114, dt. 18.2.2000, p. 40.]

SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 1996

In exercise of the powers conferred by section 30 of the Securities and Exchange Board of India Act, 1992 (15 of 1992) read with section 25 of the Depositories Ordinance, 1996 (17 of 1996), the Securities and Exchange Board of India hereby makes the following regulations, namely: